ICE HOCKEY QUEENSLAND

# COMPETITION

# DISCIPLINARY, TRIBUNAL & SUSPENSION

# REGULATIONS

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#### 1. Introduction

These Competition Disciplinary, Tribunal and Suspension Regulations are made by Ice Hockey Queensland (IHQ) pursuant to its IHQ Constitution.

This Regulation forms part of the body of 'Rules' governing the operations of Ice Hockey Queensland, as a member of Ice Hockey Australia. These Regulations are to be read in conjunction with the Competition Disciplinary, Tribunal and Suspension Regulations of Ice Hockey Australia and its Constitution and Sport Regulations.

The penalties and suspensions, Tribunal bodies and processes contained in this Regulation are binding on all Ice Hockey Queensland Members who compete in Ice Hockey Queensland sanctioned games and/or events.

#### 2. Objectives

- 2.1. To facilitate the expeditious resolution of disciplinary actions for misdemeanors occurring in IHQ sanctioned competitions.
- 2.2. To ensure that all players, officials and other associated individuals and organisations observe the Rules of Ice Hockey, including the Constitution, By-Laws, Regulations, Rules of Competition and Policies of the IIHF, IHA and IHQ as shall be applicable from time to time.
- 2.3. To ensure that the sport of Ice Hockey is played competitively and fairly in accordance with principles of true sportsmanship and in accordance with the Constitution, Regulations and Policies of IHQ.
- 2.4. To encourage participation in the sport of Ice Hockey to improve and advance the sport of Ice Hockey as a spectator sport, and to provide a safe and secure playing and spectator environment.
- 2.5. To provide a system which sets out procedures, processes, guidelines and penalties for breaches of the IHQ's Constitution, Regulations or Policies.
- 2.6. To ensure that all charges brought against a party at a Tribunal, and any appeal from a Tribunal decision, shall be conducted fairly and in accordance with the principles of natural justice.
- 2.7. To provide a clear understanding and an overview of the Suspension, Tribunal and Appeals processes by using the Tribunal Suspension and Appeals Process Flowchart as contained in Schedule A. The flowchart is intended as a "guide" only to aid in the understanding of the relevant processes.

#### 3. Definitions & Interpretation

In these Regulations, unless the context otherwise requires, the following terms shall mean-

- 3.1. Additional Suspension means any suspension in addition to an automatic suspension.
- 3.2. **Appeal -** where a party can demonstrate there has been a lack of natural justice, or an error in the Tribunal hearing of a single Panel Member, or a referral to IHA from a decision of the Full Panel Tribunal of the IHQ
- 3.3. **Automatic Suspension-** means the suspension set out in the Schedule H "Offences & Automatic Suspensions" attached hereto, and notified in the Schedule C Notification of Automatic Suspension Advice, when delivered from time to time.

- 3.4. **Suspension -** means an immediate suspension without the need for review or a Tribunal unless noted as requiring a Tribunal. Such suspension is always open to an appeal with the provision of new evidence.
- 3.5. **Committee of Management** used in this Regulation applies to the IHA Board and any properly constituted and elected committee of management or equivalent of an IHA member association or organisation.
- 3.6. **Competition Season -** means the schedule of Regular Competition Games, Finals, Tournaments, Championships or social drop in competition as organised and/or sanctioned by IHQ or an IHQ member organisation.
- 3.7. **Competition Tribunal** means a Tribunal as formed by IHA or IHQ, an IHQ member state association, organisation or league.
- 3.8. **Defendant** means a person brought under charges of this Regulation.
- 3.9. **Entering the Ice -** means a person entering onto the ice surface without prior permission from the Senior Match Official or an Assistant Match Official.
- 3.10. **Fixed Penalty -** means a suspension of a prescribed number of games as set out in Schedule H "Offences & Automatic Suspensions".
- 3.11. **Full Tribunal Panel**-means the panel of three Tribunal members sitting together form the Competition Tribunal, to investigate, hear and resolve matters brought before them in accordance with these Regulations.
- 3.12. **Offence -** means any of the offences as set out in the IIHF Rule Book and/or the Schedule H "Offences & Automatic Suspensions" for which a set penalty can apply.
- 3.13. Form means any of the forms as set out in Schedules B, C, D, E and F of these Regulations.
- 3.14. **Game** means any game of ice hockey under the sanction of IHQ.
- 3.15. **Game Official -** includes the following:
  - 3.15.1. an appointed game referee; or
  - 3.15.2. an appointed linesperson; or
  - 3.15.3. any other appointed person as a game official including without limitation timekeepers, scorers and penalty box officials as designated by IHQ or a member or sanctioned organisation.
- 3.16. **In-Game Penalty** means any penalty served by a player or team official during a game in accordance with the penalties provided in the IIHF Rule book.
- 3.17. **Misconduct** shall mean any act or omission by a player, team official or a game official which constitutes:
  - 3.17.1. a breach of IIHF's Rule book, IHA's or IHQ's Regulations which may be in place from time to time;
  - 3.17.2. participating, assisting or arranging the participation or assistance of others in any game fixing, gambling or betting activities in relation to a game;
  - 3.17.3. in the opinion of IHQ or a Member Organisation, conduct which is or may be prejudicial to the interests IHA or IHQ or the sport of ice hockey in Australia, including any of their sponsors.
- 3.18. **Member Organisation** means a state or national body, club or league that is a registered member with IHA or IHQ

- 3.19. **Player** means a registered individual member of IHA and/or IHQ and/or a member organisation and who is a player on a team that is a member of IHQ and/or a member organisation at the relevant time.
- 3.20. **Protest** means a formal written protest against a game result arising from an allegation that a team has fielded an ineligible player.
- 3.21. **Relevant Team -** means any team or teams which a player or team official represents (whether formally or informally), or with which the player or team official is connected in any way, as determined by IHA or IHQ or an IHQ member organisation or sanctioned event.
- 3.22. **Suspension** means a disciplinary action for offences occurring in IHQ or member organisation games, competitions, tournaments and national championship and affiliate organisation games operated, managed or sanctioned by the IHA or IHQ and include Automatic Suspensions and any Additional Suspension.
- 3.23. **Suspended Suspension** means a probationary suspension in addition to or an Additional Suspension.
- 3.19 **Team -** means a team participating in, affiliated with or in any other way connected with a IHA or IHQ and its member organisation.
- 3.20 **Team Official** means one or more of the following, whether individually or collectively:
  - 3.20.1 Team Office Bearers; and
  - 3.20.2 Team Officials (coach, team manager, or anyone who is officially associated with the Team).
- 3.21 **Tribunal** means the Competition Tribunal established pursuant to this Regulation.
- 3.22 **Tribunal Chairperson-**means a person appointed from time to time to administer the Tribunal processes and to sit as a the Chair of the Tribunal Panel from time to time, appointed annually by the IHQ Board.
- 3.23 **Tribunal Members** means members of a Tribunal Panel.
- 3.24 **Tribunal Panel** means an appointed person (s) with the responsibility to investigate, hear and resolve matters brought before them in accordance with these Regulations.

Any term defined in an IHQ member organisation's Constitution, as effective from time to time, shall have the same meaning as set out in that document unless otherwise expressed in this Regulation.

Headings are for convenience of reference only and do not affect interpretation.

#### 4. Jurisdiction

- 4.1. All IHQ members (in this Regulation "defendant") will be subject to, and submit unreservedly to the jurisdiction, procedures, penalties, appeal and suspension mechanisms of IHQ as set out in this Regulation. All members agree that they will not become a party to any suit, at law or equity, against IHQ, the IHQ Board, the Committee of Management, IHQ Official or any other person the subject of this Regulation until all remedies allowed by this Regulation have been exhausted.
- 4.2. IHQ as a member organization of IHA is responsible for the establishment and operation of Tribunal processes for matters arising in IHQ sanctioned games.
- 4.3. IHQ is responsible for the establishment and operation of IHQ's Tribunal processes for matters arising in competitions, tournaments and championship games operated, managed or sanctioned by IHQ and, on matters of dispute between IHQ members and its affiliated organisations.

- 4.4. IHQ may intervene in disciplinary matters that are within the jurisdiction of a member organisation. IHQ in considering an exercise of its discretion under this Regulation must determine whether all disciplinary or judiciary avenues available to a member under the relevant organisation's Rules or Constitution has been exhausted.
- 4.5. IHQ may remit any matter referred to it by a member organisation, where:
  - 4.5.1. it considers that the matter is not of relevant significance or importance, or
  - 4.5.2. the matter has not been exhausted at all lower levels of appeal, or
  - 4.5.3. the matter has not been properly dealt with at the lower level.

#### 5. The Purpose and Powers of the Competition Tribunal

- 5.1. The purpose of the Competition Tribunal is to determine all reports and charges arising out of the conduct of ice hockey in the IHQ, both on and off the ice. This includes charges against:
  - 5.1.1. players, team officials and game officials as reported by the game referee(s);
  - 5.1.2. any person reported under IIHF Rule 510, which covers supplementary discipline; and
  - 5.1.3. any person who is a member of the IHQ or any person holding an office of the IHQ who by their words or actions, bring the game of ice hockey into disrepute whilst at a competition, tournament, national championship or all other affiliated organisations game operated, managed or sanctioned by the IHQ.
- 5.2. The Tribunal is empowered to discipline by method of reprimand, suspension, disqualification or expulsion. The Tribunal is not to deal with matters that are the subject of a criminal legal action and shall cease until the criminal legal action is resolved.
- 5.3. Suspended members cited for, or in the course of a Tribunal where criminal legal action has been initiated, shall remain suspended until the criminal legal proceedings have been concluded.
- 5.4. In all matters, the object of the Tribunal shall be to ensure the maintenance of an orderly disciplined sport, consistent with fair play and the rules of ice hockey.
- 5.5. Trivial or frivolous charges will not be considered by the Tribunal. Any member making such a charge may be subject to the provisions governing bringing the game into disrepute.

#### 6. Composition of the Competition Tribunal

- 6.1. IHQ shall form and appoint a Tribunal Panel consisting of such person or persons as they shall from time to time deem fit.
- 6.2. The Tribunal Panel shall consist of a Tribunal Chairperson, plus other members as appointed by IHQ, up to 6 in number, and in the case of national championships, the National Championship Supervisor.
- 6.3. In the case of urgency the Tribunal Chairperson shall conduct investigations and a Tribunal, sitting alone.
- 6.4. In all cases where the referral to the Tribunal shall arise from an on ice penalty assessed by an on ice official to be a game misconduct or a match penalty, or the referral to the Tribunal is from a player contesting an on ice penalty that carries an automatic suspension, the Tribunal Chairman shall appoint a single Tribunal Panel member to conduct any investigation deemed necessary, to hear the charge and determine a penalty, sitting alone.
- 6.5. In all cases where the referral to the Tribunal shall arise from an on ice penalty assessed by an on ice official to be a match penalty with circumstances of aggravation, or a referral with

respect to charges pursuant to clauses 5.1.2 or 5.1.3, then the Tribunal Chairperson shall appoint from the Tribunal Panel two panel members to sit with the Chairperson as a Full Tribunal Panel and to conduct any investigation deemed necessary, to hear the charge and determine a penalty.

- 6.6. The Tribunal Chairperson shall Chair the Full Tribunal Panel, unless there is a conflict of interest or the Chairperson is otherwise unavailable, at which point the Tribunal Chairperson shall appoint three panel members to sit as the Full Tribunal Panel. In that event the Full Tribunal members shall elect a Chairperson.
- 6.7. The Tribunal Chairperson should have a "casting vote" if the Tribunal Panel is deadlocked in its decision.
- 6.8. A person shall not be appointed to a Tribunal Panel if they are:
  - 6.8.1. an Executive Officer of IHQ or the defendant's Club, or
  - 6.8.2. a member of a team in the same Grade in the competition or league of which the defendant is also a member; or
  - 6.8.3. a party to or in any way directly or indirectly interested in a matter to be heard by the Tribunal; or
  - 6.8.4. otherwise, have any relationship with any party to the matter such that the person is or may be seen to be not independent.
- 6.9. No Tribunal Member may represent a defendant, team official or team in any proceedings before a Tribunal whilst a Tribunal Member.
- 6.10. In special cases where the Tribunal Chairperson sees fit, other experts may be called to sit on a tribunal.
- 6.11. The party reported or charged shall have the right to object to a particular member of a Tribunal hearing the matter, providing an objection is received on the basis of actual bias.
- 6.12. In the event that a Tribunal Member has been dismissed because of bias, a replacement Tribunal Member is to be appointed.
- 6.13. In the case of a single member Tribunal, it shall not proceed until a new replacement member is appointed by the Tribunal Chairperson.
- 6.14. If the Full Tribunal Panel is reduced to two members, then the hearing may proceed and if present, the Tribunal Chairperson shall have a casting vote in the event of a deadlock, otherwise a unanimous decision shall have to be reached before any discipline can be administered. In the event that the Full Tribunal Panel is unable to reach a resolution, the matter is to be referred to the Tribunal Chairperson to convene a new Full Tribunal. The Defendant shall remain under suspension during the course of these proceedings.
- 6.15. A Minute Secretary may be present, or the Tribunal may take its own notes, but in any case, the Minute Secretary is not allowed to comment or vote on the matter before the tribunal unless they are also an appointed Tribunal Member.

## 7. Citings Before a Tribunal

- 7.1. Any IHQ member participating in an IHQ sanctioned competition will be required to appear before a Tribunal if:
  - 7.1.1. they incur a reported penalty by the Game Referee as per the rules under which the competition is being played and IHQ's Competition Suspension Regulations, where such penalty includes appearing before a Tribunal; or
  - 7.1.2. they are reported on a "supplementary discipline" charge under IIHF Rule 510; or
  - 7.1.3. the person is reported by an official of the IHA or IHQ or a member of the IHA or IHQ as having:

- 7.1.3.1. breached, failed, refused or neglected to comply with a provision of the Rules, these Regulations or any other resolution or determination of the IHQ Board; or
- 7.1.3.2. acted in a manner unbecoming of a Member which is prejudicial to the objects and interests of IHA, or IHQ, the competition and/or the sport of ice hockey; or
- 7.1.3.3. their actions have brought IHA, or the IHQ, the competition or the sport of ice hockey into disrepute whilst attending or participating in a competition, league, tournament and/or championship game operated, managed or under the sanction of IHA or the IHQ..
- 7.2. In addition to the matters in Section 5, the IHQ Board in its sole discretion may refer to a Full Panel Tribunal any other matter which they consider requires investigation or determination.
- 7.3. Any player or person receiving a Tribunal related penalty as reported by the Game Referee, in an IHQ sanctioned competition, is not entitled to play or participate in any other IHQ sanctioned game in the same competition as that in which the penalty was assessed, until the suspension has been served, or if in the case of a Tribunal, a final decision has been determined. Special consideration may be given to a suspended player who:
  - 7.3.1. Has been selected to and wishes to participate with a IHA national team in a World Championship; or
  - 7.3.2. Is entering into a playing contract with an overseas team.

Each will be considered on its own merit by the IHA Board and if thought appropriate by the IHQ Board.

- 7.4. Any Individual Member who wishes to report an alleged infringement of the rules of the game shall lodge all particulars in writing with the relevant IHQ Board, or the IHQ President, within 48 hours of the alleged offence taking place to:
  - 7.4.1. The State Secretary of the IHQ Board: or
  - 7.4.2. IHQ's President for IHQ sanctioned national competitions, tournaments and championship.

Out-of-Competition matters are to be referred to the Full Panel Tribunal process as outlined in IHQ's Out-of-Competition Disciplinary and Tribunal Regulations, or the relevant Sections of the IHQ Constitution.

- 7.5. Any Individual Member wishing to make a charge against any club, official or player shall lodge all particulars in writing with the State Secretary of the IHQ Board. Should the IHQ Board consider the charge meritorious it shall be referred to the Tribunal Chairperson for action pursuant to these Regulations.
- 7.6. Game Referees making reports against clubs, teams, officials or players arising out of a game at which they have officiated must:
  - 7.6.1. Ensure the incident and subsequent penalty is recorded on the Official Game Sheet. This should be done immediately at the end of the game in which the offence occurred and prior to handing the Official Game Sheet to the Game Scorer.
  - 7.6.2. Once the game sheet has been signed by the Game Referee, the Game Scorer is responsible to advise both team managers of reported players/team officials of the recorded offence.
  - 7.6.3. Immediately following the game, the Game Referee must complete the Game Referee's Incident Report (Schedule B) and forward the completed report to the IHQ Secretary, the Referee in Chief and the Tribunal Chairperson.
- 7.7. Once a report has been posted by the Game Referee, it cannot be withdrawn, and the report, and any Tribunal matter pertaining to that report, must be heard by a Tribunal.

7.8. It is the responsibility of the charged or reported person or appropriate officials of the player's team management, to collect all supporting documentation (i.e. details of the charge or report) prior to leaving the venue. In the event that this is not possible, the documents will be available from the State Secretary of IHQ.

### 8. Matters Referred to a Full Tribunal Panel

- 8.1. Where a charge or report is to be submitted to the Full PanelTribunal, the charge or report is to be initially forwarded to the State Secretary for IHQ who will then advise the IHQ President and the IHQ Board, to consider whether to;
  - 8.1.1. refer the complaint to a Full Panel Tribunal; or
  - 8.1.2. refuse to refer the complaint to a Tribunal on the basis that there is insufficient evidence to constitute a prima facie case.

### 9. Pre-Tribunal Notification Investigation & Hearing Procedures

- 9.1. At any time, an appointed person of the IHQ Board, is authorized to first investigate any recorded misconduct requiring a tribunal to determine if the charges require a tribunal. The reported person(s) is free to continue their participation as per their normal appointed position.
- 9.2. For Tribunal type penalties or incidents, the game sheet and referee's report is to be immediately sent to the Tribunal Chairperson who is responsible for the management of all Hearings and Tribunals.

#### 10.

- 10.1. If a penalty decision constitutes a Tribunal hearing, automatic suspension or otherwise:
  - 10.1.1. Every attempt will be made to immediately notify the offending person personally or by telephone or email;
  - 10.1.2. If the offending person cannot be contacted either personally, or by telephone or email, notification in writing will take place by Registered Mail at the first working day after the offence requesting them to appear before a Tribunal at a determined date, time and location.
  - 10.1.3. Notwithstanding this, the cited person is to be advised in writing of the charges against them and the details of the Tribunal using the appropriate forms and a covering letter as is exampled in the schedules of this document.
  - 10.1.4. The reported person shall not participate in any further IHQ sanctioned game in the same competition as that in which the penalty was until a final decision of the Tribunal has been determined.

#### 11. Attendance at the Tribunal

- 11.1. IHQ individual members are required to attend a Tribunal if so cited. The cited member may choose not to attend the Tribunal. However, this action may be taken as an uncontested admission to the charges. Cited members are required to advise the Tribunal Chairperson prior to commencement of the Tribunal if they accept the automatic suspension as charged under Schedule H "Offences" or in the case of an automatic Tribunal, do not intend to be present.
- 11.2. If the cited person chooses not to attend the Tribunal, the Tribunal will still proceed, taking into account the evidence before it. In choosing not to attend a tribunal, the cited member waives their right to have an advocate present their case or have witnesses speak on their behalf.

### 12. Notice To Admit

- 12.1. The cited member, upon receiving notification of a report or charge emanating from a game or incident, may choose to admit to the reported charge and accept the decision as outlined in Schedule H "Offences & Automatic Suspensions"". If the charged person chooses not to accept the reported charge and subsequent automatic suspension, they must submit notification in writing within three working days of the Notification of Suspension being received.
- 12.2. In cases requiring a Tribunal, and/or where an additional penalty may be imposed, the charged person may choose not to admit to the report or charge. If the charged person chooses not to accept the reported charge then they must do so in writing within three working days of the notification being received. If the member does not intend to be present at the Tribunal, then the Tribunal will proceed.

### **13.** Representation at Tribunals

- 13.1. Whilst it is the right of the reported person to have legal representation, IHQ discourages this practice. Should the reported person reserve the right to be legally represented, they must officially inform the Tribunal Chairman, as the tribunal may also wish to have legal representation. All parties must bear their own costs associated with their legal representation regardless of the outcome.
- 13.2. The person or persons reported or charged may use the services of an Advocate to represent them, or of a parent, if under 18 years of age.

### 14. Conduct of the Tribunal

- 14.1. Hearings by the Tribunal shall be of an informal nature. It is accepted that if proceedings do not follow the sequence set out in this Regulation, then the Tribunal cannot be seen to be improperly conducted, provided that all parties have been afforded the opportunity to state their case and bring forward appropriate evidence according to this regulation.
- 14.2. If the charged person chooses not to submit either a "Notice to Admit" or "Notice not to Accept", then the Tribunal shall proceed to determine the penalty to be imposed on the basis of the evidence presented.
- 14.3. If no "Notice to Admit" or a "Not Guilty" is submitted, then the Tribunal will proceed and the Tribunal panel member or members appointed shall conduct the proceedings according to their discretion in accordance these Regulations. However the rights and interests of the parties are to be safeguarded at all times, and on the basis of full equality, in order that natural justice should occur.

#### 15. Investigation & Tribunal Process

- 15.1. The Tribunal may choose to first conduct an Investigation, or go direct to a Tribunal Hearing in any manner as they see fit, including but not limited to a teleconference, or video conference and may, if it considers appropriate, allow an amendment to the charges or adjourn the Hearing provided that:
  - 15.1.1. All parties affected are given a reasonable opportunity to be heard;
  - 15.1.2. The Investigation or Hearing is conducted with as little formality and technicality and with as much expedition as proper consideration of the matters before it permits;
  - 15.1.3. The Investigation or Tribunal is not bound by the rules of evidence or by the practices or procedures applicable to courts of record but may inform itself as to any matter and in such manner it deems appropriate; and
  - 15.1.4. The Investigation or Tribunal at its sole discretion may determine a matter before it in the absence of any parties.
- 15.2. Subject to this Regulation, the Tribunal may make guidelines with respect to practice and

procedure of a hearing provided that such guidelines are not inconsistent with these Regulations. Any such guidelines are not binding on the Tribunal and any decision by the tribunal will not be invalid by reason of a guideline not being followed. Video evidence is not permissible as the video camera and operator may not be an approved official of the competition, or the recording is a proven to be an authenticated version of the alleged offence.

- 15.3. Notwithstanding the above, the Tribunal may follow the following sequence of procedures:
  - 15.3.1. The Tribunal must satisfy itself that the person or persons reported have had due notice and that they understand the report or charge against them:
  - 15.3.2. A Tribunal panel member shall read out each charge;
  - 15.3.3. The Tribunal Chairman must also ensure that each Tribunal Member, and the defendant, has copies of all relevant correspondence and documents;
  - 15.3.4. The Defendant, if present, shall then be asked if they plead "GUILTY" or "NOT GUILTY". The Defendant may reserve their plea, in which case the Tribunal member will note that an informal plea of "NOT GUILTY" has been entered;
  - 15.3.5. If the Defendant enters a plea of "GUILTY" to the charges against them, then the Tribunal may proceed with sentencing;
  - 15.3.6. If the Defendant enters a plea of "NOT GUILTY" then the Tribunal will proceed as detailed below. The Defendant shall remain present for the complete duration of the Tribunal Hearing and shall only be required to leave when the Tribunal Panel deliberates the evidence;
  - 15.3.7. The Game Referee or person making the report or charge will be asked to present their evidence to the Tribunal, and may call witnesses if they deem necessary;
  - 15.3.8. The evidence of the game referee or person laying the report or charge is then examined by the Tribunal and may be cross-examined by the reported person or their Advocate;
  - 15.3.9. After any cross-examination has taken place, the person having given evidence may be re-examined to explain matters arising from the cross-examination;
  - 15.3.10. The Defendant may then give evidence in their defense, and may call any witness or Advocate supporting their evidence.
  - 15.3.11. The Defendant's evidence is then examined by the Tribunal, and may be cross-examined by the person making the report;
  - 15.3.12. After any cross-examination of the Defendant, no further examination should take place, except with the expressed consent of the Tribunal Panel; and
  - 15.3.13. After all evidence is presented, the Defendant or their Advocate has the right to sum up their case, including making the Tribunal aware of any mitigating circumstances which the Tribunal Panel is to take into consideration before reaching their decision.
  - 15.3.14. At the completion of the evidence:
    - (a) The parties shall, if requested by the Tribunal, leave the hearing room or otherwise absent themselves from hearing the deliberations of the Tribunal. The Tribunal will consider all the evidence and submissions made during the hearing and make a determination on the balance of probabilities with respect to whether or not the matter, charge or charges have been proven;
    - (b) The Defendant should be advised that they can wait while the matter is decided or take their leave, having been assured that if they take their leave they will be advised orally within one hour of the decision if this is possible, or by telephone and/or email and formally in writing within three (3) working days;
    - (c) The Tribunal may determine that the Defendant is guilty of the offence charged but at a lesser grading or is guilty of a different offence;
    - (d) Where the Tribunal is constituted by three (3) persons then it will be sufficient for a majority of those persons to agree on the decision;
    - (e) Where the Tribunal is reduced to two (2) persons the Tribunal Chairperson, if present, shall have a casting vote;
    - (f) Having considered all the material before it, including oral evidence and representations of the parties, the Tribunal will make a determination of guilty

or not guilty on each of the charges against the reported person. The Tribunal will then consider what penalty is appropriate, in the event that a finding of guilty has been reached;

- (g) If guilty as charged, the Tribunal cannot award a penalty less than the prescribed penalty stated in IHQ's Competition Suspension Regulations, and may award an additional penalty as deemed necessary by the Tribunal Panel;
- (h) A summary of the proceedings of the Tribunal is to be recorded by the Tribunal Chairperson on a Tribunal Hearing Results Report (Schedule E); and
- (i) The Tribunal is not obliged to give reasons for any decision made by it.

### 16. Decision of the Tribunal

- 16.1. The Tribunal, having considered all the evidence and submissions made during the hearing, may find that:
  - 16.1.1. the charge or charges have been proved; or
  - 16.1.2. the party charged is guilty of the offence charged but at a different grading; or
  - 16.1.3. Is guilty of a different offence.
- 16.2. Having reached their determination of guilty, the Tribunal may also have regard to any matters which it considers relevant to the question of penalty and, without limitation, take into consideration:
  - 16.2.1. the seriousness of the conduct with which the party is charged or found guilty of by the Tribunal;
  - 16.2.2. any loss or damage sustained by any person howsoever arising from the conduct;
  - 16.2.3. evidence of prior proven Misconduct by the party charged; and
  - 16.2.4. insofar as they are relevant, the objectives of IHQ.
- 16.3. Where a Defendant is found guilty by the Tribunal of an offence listed in "Offences & Automatic Suspensions" (Schedule G), the Tribunal shall impose the corresponding automatic penalty listed and any further penalty the Tribunal considers reasonable in the circumstances, or in the case of other offences, such penalty as the Tribunal considers reasonable in the circumstances.
- 16.4. Subject to Clause 15.3 the Tribunal may impose, in its own absolute discretion, any one or more of the following penalties or outcomes on such terms as it sees fit:
  - 16.4.1. Expulsion;
  - 16.4.2. Disqualification;
  - 16.4.3. Suspension;
  - 16.4.4. A monetary fine;
  - 16.4.5. A reprimand or caution;
  - 16.4.6. Deduction of points;
  - 16.4.7. Suspended Suspension
  - 16.4.8. Compulsory attendance at a course or courses of education or rehabilitation, including without limitation, an anger management course; or
  - 16.4.9. Any such other sanction or penalty as the Tribunal sees fit except any form of suspended penalty or a bond.
- 16.5. Any form of discipline determined is effective immediately on conclusion of the Tribunal. If an appeal is lodged, the Tribunal's judgment will stand, pending the outcome of an Appeal if one is submitted.
- 16.6. The decision of the Tribunal is to be recorded on the Tribunal Hearing Results Report (Schedule E) which is to be signed by each of the Tribunal Members.
- 16.7. On the conclusion of the Tribunal, the person cited before the Tribunal and the organisation which they are a member of is to receive written confirmation of the Tribunal's decision by telephone and/or email and in writing of the outcome of the hearing within three (3) working days after the Tribunal or in the case of a National Championship, at least one hour prior to

the next game for which they would be eligible, whichever is the sooner. A suggested format for notification of the result of the Tribunal's findings to the cited person should be processed as per "Tribunal Result Advice Notification" (Schedule F).

16.8. Should the imposed penalty have cause to affect the cited person's responsibilities and/or a different position in another IHQ membership position, then such affect will be given consideration upon written request from the defendant's other IHQ member association or organisation in which the position is affected.

#### 17. Suspended or Probationary Suspension

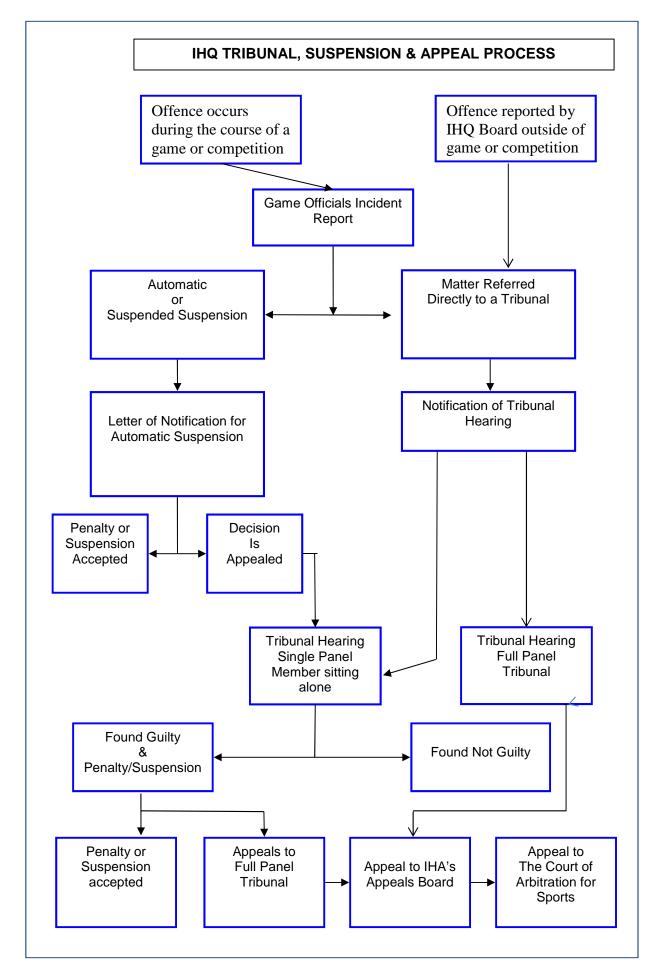
17.1. Where it is considered by the Tribunal that a "Suspended" or "Probationary" Suspension should be included in a punishment to act as a deterrent to further offences, then the Suspended or Probationary Suspension must be given in conjunction with the *minimum* automatic suspension for the relevant offence. For example:- If the penalty schedule provides for a minimum automatic suspension of one (1) week or game, then the tribunal may award a one week or game suspension plus a further number of weeks or games as a suspended or probationary sentence. The minimum automatic suspension must be served in the first instance.

#### 18. Appeals

- 18.1. Subject to these Regulations, a party subject to a determination of the Tribunal may appeal.
- 18.2. An appeal from a single Tribunal Panel Member decision will be referred to the Full Tribunal Panel, with the Tribunal Chairperson hearing the Appeal with two other appointed Tribunal Panel Members.
- 18.3. An appeal from a decision of the Full Tribunal Panel decision shall proceed to the IHA Appeals Tribunal ("**Appeals Board**"), in accordance with IHA's Disciplinary Tribunal and Suspension Regulations in force at the relevant time.
- 18.4. Appeals to reopen matters are allowed where a party can demonstrate there has been a lack of natural justice, or new evidence has come to light "*AFTER*" the original Tribunal. In these circumstances, the matter should be referred back to the IHQ Tribunal which first heard the charge. New evidence can also include taking evidence from someone outside of IHQ. Video evidence is not permissible unless all parties are in agreement.
- 18.5. A Notice of Appeal must be lodged by a formal written request to the Secretary of the IHQ Board within three (3) working days of notification of the decision of the Tribunal.
- 18.6. The Secretary shall refer the Appeal to the Tribunal Chairperson, if the decision is from a single member decision of the Tribunal, or to the IHA Committee of Management to arrange the conduct of an IHA Appeal process.
- 18.7. Any party that gives a Notice of Appeal to the Secretary of IHQ from a decision of the IHQ Full Tribunal Panel shall include payment of any IHA "**Appeals Fee**", applicable at the time.
- 18.8. A fee of \$1,000 applies, (current for the 2013 year and amended from time to time), for all such appeals and is to be submitted at the time the appeal is lodged. The appeal fee is lost where the appeal is dismissed.
- 18.9. Where the appeal is in relation to a suspension, such suspension shall remain in place pending the determination of the appeal.
- 18.10. IHA Appeals are to be heard by an Appeals Board as established by the Committee of Management of IHA.
- 18.11. The IHA Appeals Board has the power to hear appeals under these Regulation or appeals allowed pursuant to the IHA Regulations or Policies. The IHA Appeals Board shall convene

and conduct its proceedings in accordance with IHA's Disciplinary Tribunal and Suspension Regulations in force at the relevant time.

- 18.12. An IHA Appeal "cannot" be made against:
  - 18.12.1. the severity of a punishment awarded by the Tribunal, unless new and mitigating evidence can be presented; or
  - 18.12.2. a decision by a Committee of Management not to proceed with a complaint on the grounds that there is insufficient evidence to constitute a prima facie case for a Tribunal Hearing.
- 18.13. The IHA Appeals Board will consist of any two members of the Committee of Management plus the IHA Disciplinary Tribunal Chairperson.
- 18.14. The IHA Appeals Hearing is to be held as soon as practical, but no later than seven days from the receipt of a formal written appeal. The IHA Appeal Hearing is to be conducted using the same procedures as those used for the original Tribunal Hearing.
- 18.15. The IHA Appeals Board may elect to uphold the original decision, vary it, or uphold the appeal. The appellant is to be immediately advised by telephone and/or email and formally in writing of the outcome of the appeal within three (3) working days of notification of the IHA Appeals Board's decision.
- 18.16. At all times, members have the right to submit an appeal to the Court of Arbitration for Sport. Such appeals should only be lodged when all avenues of recourse available under these Regulations have been exhausted.
- 18.17. Whilst it is the right of the reported person or persons to have legal representation, the IHA discourages this practice. Should the reported person reserve the right to be legally represented, then they must bear all ensuing costs associated with their legal representation regardless of the outcome.
- 18.18. An Appellant shall attend and appear before the Appeals Board at the date, time and place fixed for hearing of the appeal. Where an Appellant fails to attend before the Appeals Board, the Appeals Board may hear and determine the appeal in the Appellant's absence



GAM	Ice Hockey E REFEREE'S	Queensland INCIDENT RI	EPORT	
Event:		Game #:	Date:	
Home Team:		Visiting Team:		
Referee: (printed name)	Linespersons:	(printed name)	/(printed nar	ne)
Final Score: /				
1. Please state the reason for	this Referee Game	Report:		
2. Time of the incident:	Period:	Score a	at that time:	
3. Please provide a descriptio happened, list any injuries after the incident. Use the explanation of the incident.	n of the events lead that may have occu rink diagram on the Print clearly.	ling up to the incid rred and list any e second page of t	dent, describe events that m his report to a	e the incident as it ay have occurred assist in the
<ol> <li>Please provide a summary jersey number of the penali and the IIHF Rule number.</li> <li>Home Team:</li> </ol>	ised player, the pen	alty assessed, the	e number of r	
Rule # / Penalty	/ Min.	Rule # /	Penalty	/ Min.
	/:			
	/:	/		
	/:			

Please use this rink diagram to assis	st in explaining the incid	ent:
The " <b>Game Sheet</b> " only is to be signed off by the Game Referee and submitted to the Score Keeper for forwarding to the team managers prior to them leaving the premises.		
Date:		
Referee Signature:		
Linesperson Signature:		
Linesperson Signature:		
	mpionship, the Nation as read this report an	al Championship Supervisor or d has nothing to add.
National Championship Director: Signature:		
Referee-in-Chief:		ignature:
The Game Referee and/or IHA Referee-in-Chief shall submit a copy of this Report along with the Game Sheet to the IHA Office and all relevant member organisation bodies or state association offices immediately following the game.		

e Hockey Queensland
NOTIFICATION OF SUSPENSION ADVICE
Date:
Name:
Address: [suburb] [state] [post code]
Attention: [team] and [suspended player]
You are hereby advised that <b>[players name]</b> of the <b>[team]</b> has received a Penalty at [time] of the <b>[period number]</b> period in [competition/league] [ <b>Game #] (if reg'd)</b> between the <b>[home team]</b> and the <b>[visiting team]</b> on the <b>[date]</b> at the <b>[ice venue or location]</b> .
As a result, the called and recorded penalty constitutes an automatic game (s) suspension as stated in the IHQ's "Offences & Automatic Suspensions" (Schedule H) and as stated below.
[Rule #] Offence: [penalty category – game misconduct, match, etc.]
Any player assessed a [penalty category] under [Rule #] during the game shall be assessed the minimum automatic suspension of [#] games. The suspended number of games must be serve in the competition within which the penalty was assessed.
Until such time as the numbers of games applied are served, you will not be allowed to participat in any games within that competition where the penalty was assessed. The suspension does no prevent you from continuing to train with your team or to continue playing in any other IHQ sanctioned games. The Tribunal may, however determine a penalty that will prevent you from playing for a period of time in any IHQ sanctioned event, including training.
[Signature]:
[printed name] [position title [contact numbers]

Ice Hockey Queensland
[ date ]
[ name ] [ address ] [ suburb ] [ state ] [post code]
Subject: NOTIIFICATION OF TRIBUNAL
Dear [ name ]
You are hereby advised that the [member organisation / IHQ ]
have convened a Tribunal to investigate an incident which occurred on(date)
at during a game between (venue) (team / club / division )
and in which it is alleged you were involved. ( team / club / division )
Specifically, the Tribunal will be hearing the following charge/s against you in regards to IHQ / IIHF Rule #: (detail the offence or offences including the rule number)
The Tribunal will be hearing the matter against you as follows:
Date:(day) (date) (time)
Location:( specify exact location where the Tribunal will be held )
Tribunal Chairperson:

For your information, you are advised of the following:

- a. You are required to appear at this Tribunal. Failure to appear will result in your continued suspension and the matter will be determined in your absence. If you do not appear at the Tribunal, you will forego the right to be represented by any adviser or to have any witnesses there on your behalf;
- b. If, because of some serious and urgent reason, you are unable to attend the Tribunal as scheduled, you must contact the Tribunal Chairperson with your request for deferment. The Chairperson's decision on whether or not to agree to defer your Tribunal hearing will be final. If a deferment is granted, you continue to remain suspended from playing in any game organised by, or under the control of the IHQ until the conclusion of your Tribunal;
- c. In appearing before the Tribunal you may be represented by an Advocate (adviser, club official or parent if you are under 18 years of age);
- d. At the Tribunal you are entitled to bring witnesses to substantiate your version of the incident;
- e. At the Tribunal you will be fully apprised of the complaint against you, and you will be given the opportunity to give your version of the incident. You will also be required to answer any direct questions the Tribunal may ask you in relation to the incident;
- f. The Tribunal will consist of a single Tribunal Panel Member or three Tribunal members which may include the Tribunal Chairperson of IHQ. The Tribunal will be conducted in accordance with the IHQ's Competition Tribunal & Automatic Suspension Regulations, a copy of which is enclosed with this letter for your further information;
- g. If you are cited at a national championship or during an IHQ sanctioned game, event or competition and choose to admit to the charges and accept the charges as detailed in IHQ's Competition Tribunal & Automatic Suspension Regulations or not attend a Tribunal where one is warranted under the IHQ's Competition Tribunal & Automatic Suspension Regulations, you must immediately inform the National Championship Supervisor or your respective member organisation's Referee-in-Chief and/or Tribunal Chairperson;
- h. If you are cited at a game in normal competition and choose to admit to the charges and accept the charges as detailed in IHQ's Competition Tribunal & Automatic Suspension Regulations or not attend a Tribunal where one is warranted under the IHQ's Competition Tribunal & Automatic Suspension Regulations, you must advise your intention to admit in writing to your respective IHQ Tribunal Chairperson within 24 hours of receiving details of the charges alleged.
- i. The decision of the Tribunal will be advised as soon as possible after the hearing. Your IHQ Club will also be advised of the decision of the Tribunal; and
- j. Pending the decision of the Tribunal, you may continue training with your team, subject to your Club's direction.

Yours faithfully,		
[signature]	[printed name ]	[position ]
[date]		

IHQ TRIB	UNAL HEARING RESULTS ADVICE
Date: Tim	e:Location:
Tribunal Chairperson: [ printed name ]	
Member-1:[ printed name ]	
Charge Details	
Date of Game:	Venue:
Game: \	/s Grade:
Defendant's Name: [printed name]	Player No: Team:
Alleged Offences:	
Rule No.Offence1	Plea (Guilty/Not Guilty)
2	
3	
Representative:	
Witnesses:	
Game Referee:	
Linesperson 1:	Linesperson-2:
Other Witnesses:	
Summary of Hearing:	
Findings:Guilty/Not GuiltyCharge 1	Penalty
Charge 2	
Charge 3	
Defendant Advised? YES NO	
Tribunal Members: (Signed)	
Chairperson:(printed name)	( signature )
Member 1:( printed name )	( signature )
Member 2:( printed name )	( signature )

IHQ TRIBUNAL RESULT ADVICE NOTIFICATION		
Date:		
Player's name:		
Players address: / / / [suburb] [state] [post code]		
RESULT OF TRIBUNAL HEARING		
The purpose of this letter is to officially advise you of the outcome of the IHQ Disciplinary Tribunal held on <u>(date)</u> at <u>(location)</u> to investigate an incident in which you were involved.		
Having heard the evidence against you, and your own evidence, the Tribunal has reached the following decisions in regard to the charges against you:		
Offence: guilty not guilty suspended suspension automatic suspension		
Suspended Suspension:		
Automatic Suspension:		
Based on the above suspensions awarded, you are suspended from playing any game organised		
by or under the control of the IHQ or a member organisation of the IHQ until(date)		
Unless otherwise advised and subject to the direction of your Club or Team officials, you may continue to train with your team.		
Yours faithfully,		
Tribunal Chairperson		

In an effort to provide clarity and consistency of procedure, process and to meet the expectations of all IHQ members, IHQ'S Competition Tribunal & Automatic Suspension Regulations are presented in this document.

The objective includes alleviating the need for a Tribunal, for penalties were the guilt of the player is not contested. This does not remove the right of the penalised person, IHQ board, member organisation, or the Tribunal Chairperson to request a Tribunal.

Included in these regulations is a table which indicates the minimum number of suspended games for each of type of penalty. The on ice officials are responsible for the assessment of match, and game misconduct penalties within the context of the competition as they are written in

the IIHF's Rule Book.

It is expected that all IHQ member organisation teams understand that the IIHF Rule and Case Books are the adopted rules under which all IHA sanctioned games are played.

#### Introduction

During the course of a game, an on ice official must use judgment in determining many infractions. These judgement calls can be contested and these regulations govern that process.

IHQ member organisation teams will be asked to abide by the IIHF Rules, with the onus on the coaches to enforce these rules with their team. Should an IHQ member organisation's team fail to abide by these Rules, officials are required to assess the appropriate penalties as outlined in the Rule Book.

In an IHQ, tournament any player or team official assessed a second game misconduct or match penalty shall be automatically suspended from any further participation in the tournament or member organisation's games until a Tribunal takes place to hear the offence. The allocated automatic suspension number of suspended games will continue to apply until the Tribunal hearing is conducted.

In the case of any automatic suspension(s), they shall be served in the tournament or the member organisation's games, where the original penalty was assessed and does not carry over into other competitions the player may participate in, however the Tribunal may assess and amend any automatically suspended games to include a period of time suspended, and this may include any training, or informal games, as well as competition or tournament games. Any Tribunal is entitled to assess a period of time rather than a number of games as a suspension.

Any Tribunal assessed suspension may apply to any and all of the Defendant's competition involvement or associated positions with IHQ. Therefore a defendant that is a player and also a coach may be suspended from both positions from the one penalty, or the Tribunal may determine to only suspend the defendant from a distinct activity or position within the jurisdiction of IHQ.

The automatic suspension(s) awarded in IHQ sanctioned games as per the IHQ's Competition Suspension Regulations are to be applied by all IHQ member organisations.

All match, major and game misconduct penalties shall be reported to the IHQ office, IHQ's Referee-in-Chief, and the Tribunal Chairperson immediately after the game is concluded were they have been assessed.

#### **Major and Game Misconduct Penalties**

Major and game misconduct penalties are considered as "judgment calls".

Any player who receives a game misconduct during the last half of the third and overtime periods shall be assessed the minimum automatic game(s) suspension as per the IHQ's Competition Tribunal & Suspension Regulations. The suspension does not include the game in which the penalty was assessed, , but the automatic suspension will be the next game or games.

The nominated IHQ Tribunal shall be a single Tribunal member sitting alone, and shall have the power to further suspend the player or team official from participating in any further IHQ sanctioned games. It is to be understood, that a hearing will not be convened by the proper authorities to discuss a referee's judgment call (i.e. If the call is a Match penalty for spearing, then the number of game suspension (s) will apply).

All major and game misconduct penalties, or incidents requiring the aforementioned discipline actions, are to be entered on the IIHF Referee Game Report Form (Section B) by the game referee and, shall be reported to the IHQ office, the IHQ Referee-in-Chief and the Tribunal Chairperson. Dependent on the severity of the incidents, further disciplinary action may be imposed by the appropriate authorities.

A formal Letter of Notification will be sent to the offending player advising them of the automatic suspension and the right of appeal to the suspension as per these Regulations.

#### **Match Penalties**

Where a player receives a match penalty by the game referee for one of the offences listed in the Automatic Suspensions, the corresponding automatic game suspension applies without the need to refer the matter to a Tribunal.

All match penalties, or incidents requiring the aforementioned discipline actions, are to be entered on the IIHF Referee Game Report Form (Section B) by the Game Referee and, shall be reported to the IHQ office, the IHQ Referee-in-Chief and the Tribunal Chairperson immediately following the game. Once the referee's call on a match penalty has been confirmed by the IHQ Referee-in-Chief, the minimum suspension shall be put in effect. Dependent on the severity of the incidents, further disciplinary action may be imposed by the Full Tribunal Panel of the IHQ Tribunal.

At the same time, the application of an automatic game(s) suspension does not remove the right of the player to request a Tribunal if they disagree with the decision. Should a player request a Tribunal to dispute an automatic suspension, this shall be heard by a single Tribunal member sitting alone.

Where "Full Panel Tribunal" is listed against an offence in the Schedule, then the matter must go before a Full Panel Tribunal for decision.

In addition to the automatic suspension imposed under these rules, the IHQ Board, or Tribunal may, at any time after the conclusion of the game, investigate any incident and may assess additional suspensions for any offence committed on or off the ice at any time before during and after the game, whether or not such offences have been penalized by the game referee. If an investigation is requested by a club or by a member organisation's team on its own initiative, it must be initiated within forty-eight (48) hours following the completion of the game in which the incident occurred.

The IHQ Tribunal Chairperson shall use their best endeavours to schedule any required Tribunal, and dispatch all necessary Notices in sufficient time to conduct the Tribunal hearing prior to the automatic suspension period applicable to the penalty having expired.

#### Schedule H: **Offences & Automatic Suspensions**

#### OFFENCE

#### **Game Misconduct**

Any player that is assessed at the discretion of the Game Referee a Game Misconduct shall be automatically assessed a one game suspension.

#### Match Penalty

Any player who is assessed at the discretion of the Game Referee a Match Penalty shall be automatically assessed a 2 game suspension, except for a Match Penalty for kicking which shall attract an automatic 4 game suspension.

#### **Circumstances of Aggravation**

Any player who is assessed, at the discretion of the Game Referee, a Game Misconduct or a Match penalty and the Referee's report contains an opinion that there are circumstances of aggravation that places the offence in a category of sufficient severity that in the opinion of the Game Referee it must be referred to the Disciplinary Tribunal, and the Referee in Chief concurs, the automatic suspension continues to apply and the player shall be summoned to a Tribunal hearing.

A single member tribunal hearing shall be conducted for any referrals from a Game Misconduct, a Match Penalty or any Tribunal requested by a defendant to contest an automatic suspension.

A Full Panel Tribunal shall be conducted for all other Tribunal matters or Appeals from a single member tribunal decision.

A Full Panel Tribunal shall be convened for each of the following offences after a penalty is assessed by the Game Referee, or from a referral from the IHQ board:

- (a) A team official who uses or makes any racial remarks or ethnic slur
- (b) If any team official or player,
  - (i) Holds or strikes an official; or
  - (ii) Makes a travesty or is detrimental to the conducting of the game; or
  - (iii) Makes any obscene gesture to any official or person; or
  - (iv) Spits at a game official.
- (c) A player who physically interferes with a spectator
- (d) Any player who, having entered the penalty bench, leaves the penalty bench prior to the expiration of their penalty for the purpose of challenging a game official's ruling
- (e) The first player to leave the players or penalty bench during an alteration
- (f) Any other player(s) who leaves the penalty box or the players bench during an altercation
- (g) Any team official who goes on the ice during any period without the permission of the Game Referee
- (h) Any player or goalkeeper who throws a stick, part of it or any other object out of the playing area
- (i) Any team official that plays or allows an Ineligible player to play and that player as per IHA, State or AIHL Regulations
- (i) Eye Gouging
- (k) Fighting During / After handshakes(l) Misconduct at a Tribunal
- (m) Abuse of an official Molesting
- (n) Abuse of a spectator Molesting
- (o) Team official Pulling the team from the ice and not returning
- (p) Team official Allowing his team to go on the ice for a bench clearing altercation
- (q) Deliberate attempt to injure resulting in injury to an opponent
- (r) Taking of banned substances per ASDA & IHA Policy
- (s) Stick swinging
- (t) Third-Man-In rule only to the first player of each team to intervene in an altercation.
- (u) Pre/Post Game Altercation any player involved where majors plus game misconduct or match penalties are assessed
- (v) Pre/Post Game Altercation coach or official of a team that is so penalized
- (w) Coach of a team whose player is penalized as first player / players to leave bench or penalty bench during a fisticuff, fight or roughing altercation
- (x) Any player who shoots or attempts to shoot a puck at a on-ice official in any manner after the stoppage of play